



**NORTH CAROLINA**  
Department of Transportation

# Technical Training - DBE Trucking

Michelle Gaddy

Connecting people, products and places safely and efficiently with customer focus, accountability and environmental sensitivity to enhance the economy and vitality of North Carolina

# DBE Trucking

VENDOR NUMBER	DBE NAME ADDRESS	WORK CODE TYPE OF WORK	CERT TYPE	AMOUNT	
WB 4247	SEAL BROTHERS CONTRACTING LLC 131 W. CLEVE STREET , MOUNT AIRY, NC 27030		Sub	376,706.00	COMMITTED
MB 11572	CRUZ BROTHERS CONCRETE, INC. 1572 PAYNE ROAD/LOT 75 LOT 75 , GRAHAM, NC 27253		Sub	1,552,048.50	COMMITTED
WB 4898	BULLINGTON CONSTRUCTION INC 417 FOXGLOVE LANE , INDIAN TRAIL, NC 28079		Sub	231,705.00	COMMITTED
WB 12278	CLIFTON CONSTRUCTION CO., INC. 1435 GIDDENSVILLE ROAD , FAISON, NC 28341		Sub	410,411.00	COMMITTED
MB 9210	AUSTIN TRUCKING LLC P.O. BOX 606 , CLAYTON, NC 27528		Sub	1,371,404.04	COMMITTED
				TOTAL:	\$3,942,274.54
					10.41%

DBE SUBCONTRACTOR: 9210 AUSTIN TRUCKING LLC  
Will Use Quote: Yes

0062	1503000000-E	ASP CONC INT TON	61840.000	9.06100	560332.24
0064	1523000000-E	ASP CONC SUR TON	45120.000	9.75800	440280.96
0259	1491000000-E	ASP CONC BAS TON	38920.000	9.52700	370790.84
					-----
DBE COMMITMENT TOTAL FOR SUBCONTRACTOR:					1,371,404.04
DBE COMMITMENT TOTAL FOR VENDOR (SubContractor )					1,371,4

- Hauling is one of the areas that is used for DBE participation on projects
- The hauling company is required to manage all of the trucking operations for the line item of work that they are subcontracted for
- In the example – Austin Trucking is committed to hauling 100% of the base, intermediate, and surface course but has been subcontracted to haul many other line items of work
- Regardless of commitment, RE offices have to monitor all DBE hauling

Line Item	Cont Adj	Description	UOM	Quantity	Unit Price	Amount
61		INCIDENTAL MILLING	SY	1,540.000	\$15.0000	\$23,100.00
62		ASP CONC INTR CRS 119.0C	TON	61,840.000	\$242.0000	\$14,963,280.00
63		ASP CONC SURF CRS 99.5B	TON	4,080.000	\$75.0000	\$306,000.00
64		ASP CONC SURF CRS 99.5C	TON	45,120.000	\$50.0000	\$2,256,000.00

Line Item	Cont Adj	Line Item	UOM	Cert. %	Partial	Quantity	Unit Price	Goals Unit Price
51		#57 STONE	TON	100%	<input checked="" type="checkbox"/>	3,060.000	3.7500	3.7500
53		CLASS IV SUBGRD STABILIZATION	TON	100%	<input checked="" type="checkbox"/>	2,700.000	4.1500	4.1500
54		STABILIZER AGGREGATE	TON	100%	<input checked="" type="checkbox"/>	500.000	4.1500	4.1500
59		INCIDENTAL STONE BASE	TON	100%	<input checked="" type="checkbox"/>	2,000.000	4.1500	4.1500
62		ASP CONC INTR CRS 119.0C	TON	100%	<input checked="" type="checkbox"/>	61,840.000	9.0600	9.0600
64		ASP CONC SURF CRS 99.5C	TON	100%	<input checked="" type="checkbox"/>	45,120.000	9.7000	9.7000
115		RIP RAP, CLASS I	TON	100%	<input checked="" type="checkbox"/>	1,730.000	6.9000	6.9000
116		RIP RAP, CLASS II	TON	100%	<input checked="" type="checkbox"/>	680.000	8.9000	8.9000
117		RIP RAP, CLASS A	TON	100%	<input checked="" type="checkbox"/>	1,330.000	4.4000	4.4000
118		RIP RAP, CLASS B	TON	100%	<input checked="" type="checkbox"/>	3,520.000	4.9000	4.9000

Comment:

## DBE Utilization in Trucking

(B) DBE Utilization in Trucking

The following factors will be used to determine if a DBE trucking firm is performing a commercially useful function:

- (1) The DBE shall be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there shall not be a contrived arrangement for the purpose of meeting DBE goals.
- (2) The DBE shall itself own and operate at least one fully licensed, insured, and operational truck used on the contract.
- (3) The DBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs.
- (4) The DBE may subcontract the work to another DBE firm, including an owner-operator who is certified as a DBE. The DBE who subcontracts work to another DBE receives credit for the total value of the transportation services the subcontracted DBE provides on the contract.
- (5) The DBE may also subcontract the work to a non-DBE firm, including from an owner-operator. The DBE who subcontracts the work to a non-DBE is entitled to credit for the total value of transportation services provided by the non-DBE subcontractor not to exceed the value of transportation services provided by DBE-owned trucks on the contract. Additional participation by non-DBE subcontractors receives credit only for the fee or commission it receives as a result of the subcontract arrangement. The value of services performed under subcontract agreements between the DBE and the Contractor will not count towards the DBE contract requirement.
- (6) A DBE may lease truck(s) from an established equipment leasing business open to the general public. The lease must indicate that the DBE has exclusive use of and control over the truck. This requirement does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, so long as the lease gives the DBE absolute priority for use of the leased truck. This type of lease may count toward the DBE's credit as long as the driver is under the DBE's payroll.
- (7) Subcontracted/leased trucks shall display clearly on the dashboard the name of the DBE that they are subcontracted/leased to and their own company name if it is not identified on the truck itself. Magnetic door signs are not permitted.

- Special Provision included in all contracts – language may vary depending on state/federal contracts
- DBE is responsible for managing hauling operations
- DBE must have at least one of it's own trucks operational
- DBE can provide other DBE or non-DBE trucks to assist with hauling. Non DBE trucks must not exceed DBE trucks
- DBE may lease trucks as needed
- Subcontracted/Leased trucks must clearly display on the dashboard the name of the DBE they are subcontracted/leased to and their own company name if it is not displayed on the truck. Magnetic door signs are not permitted

## **Subcontracts**

- Haulers are performing a partial (scope based) of the work
- Most of the time, hauling is shown as a converted price as NCDOT is paying the prime contractor in a different unit of measure than they are paying the sub. (TON verses by the hour)
- Contractor should anticipate total tonnage and how long it will take to haul.
- This amount should be reflected on the SAF although the subcontract agreement between the prime and sub will reflect rates actually paid
- This may make monitoring reported payment tracking a little more difficult

### **Example**

**LC #5 Asphalt Concrete Surface Course, Type S9.5B**

**Bid Quantity: 710 tons**

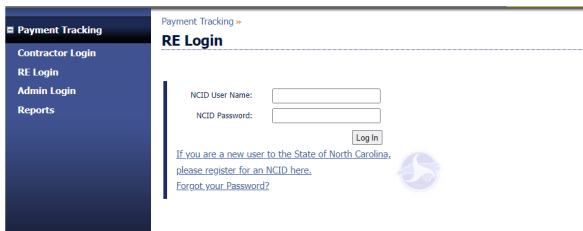
**Bid Price: \$50 / ton**

**Amount Bid: \$35,500.00**

**Sub will haul for \$60 / hour and is going to haul 15 hours**

**Total \$900 / 710 tons = converted price of \$1.2676 / ton**

# Payment Tracking



CONTRACTORS	PAYMENT TRACKING	HICAMS REPORT	DIFFERENCE	10 Percent (- or +)
	\$861,137.62	\$797,811.82	\$63,325.80	7.35%
/ TOM CAT TRUCKING INC	\$174,857.36	\$98,468.60	\$76,388.76	43.69%
/ MCCAIN STRIPING SERVICE INC	\$93,377.82	\$107,981.01	(\$14,603.19)	-15.64%
/ CRUZ BROTHERS CONCRETE, INC.	\$278,833.06	\$355,061.77	(\$76,228.71)	-27.34%
/ TRAFFIC CONTROL SAFETY SERVICES INC	\$195,080.07	\$39,500.00	\$155,580.07	79.75%
/ HIATT & MASON ENTERPRISES INC	\$64,339.31	\$78,986.98	(\$14,647.67)	-22.77%
/ ARBOREX TREE SERVICES LLC	\$54,650.00	\$21,483.00	\$33,167.00	60.69%
/ PRECISION CONTRACTING SERVICES INC	\$0.00	\$6,089.05	(\$6,089.05)	N/A
/ VISTABUTION LLC	\$0.00	\$21,006.06	(\$21,006.06)	N/A
/ SEAL BROTHERS CONTRACTING LLC	\$0.00	\$69,235.35	(\$69,235.35)	N/A

- Each month RE office should log into Subcontractor Payment Tracking System and review payments entered by prime contractor
- At top, you should see summary of payments entered, how much HICAMS calculates (based on actual payments and SAF entries) and the difference/percent difference
- Reasons for differences in trucking can easily go back to partial/portions.
- If you have any question about what a contractor has reported, ask for back up documentation to verify that it is valid.

# Trucking Plan

**Truck Plan**

Contract No: C123456  
 County: Dance

**Listing of Firms**

Trucking Firm	DBE Certification	Number of Trucks Owned
Over the Hill Trucking	DBE/MBE	5
Lucky Trucking	DBE/MBE	3
Well Transportation	DBE/MBE	9
Richie Rich Trucks	DBE/WBE	3
Ball Hauling	None	2
TNT Trucking	None	1
Total Available Trucks		22

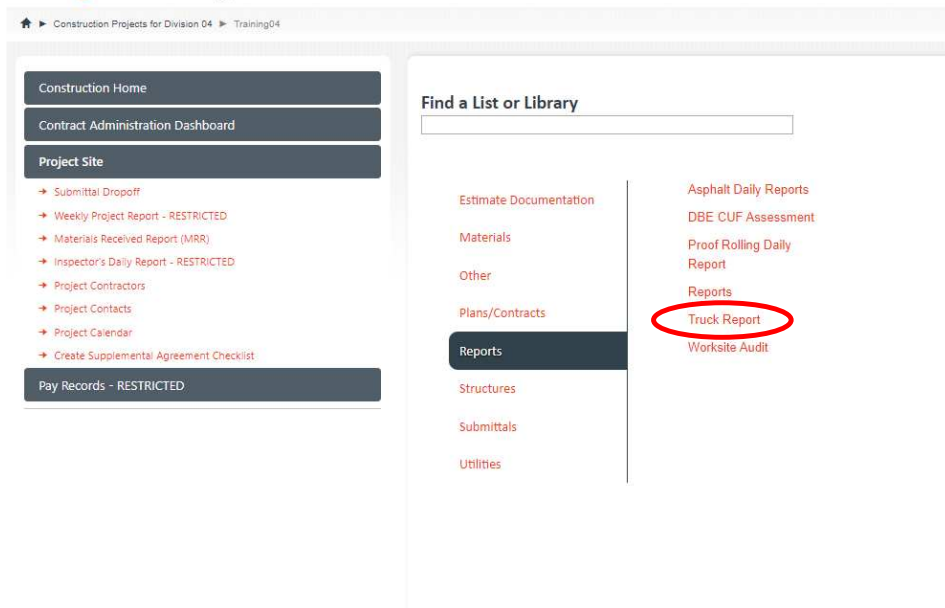
**Individual Truck Listing**

Firm	Truck Type	Truck Number	Asphalt	Gravel	Box Top	Box Bottom	Flat Top	Flat Bottom	Flat Top	Flat Bottom	Flat Top	Flat Bottom
Over the Hill Trucking	Mack RD 690A - Quad Axle	Over 1	X	X	X	X	X	X	X	X	X	X
	Mack RD 690A - Quad Axle	Over 2	X	X	X	X	X	X	X	X	X	X
	Mack RD 690A - Tri Axle	Over 3	X	X	X	X	X	X	X	X	X	X
	Mack RD 690A - Quad Axle	Over 4	X	X	X	X	X	X	X	X	X	X
	Mack RD 690A - Tri Axle	Over 5	X	X	X	X	X	X	X	X	X	X
Lucky Trucking	Ford L-9000 Tri Axle	LT 3	X	X								
	Ford L-9000 Tri Axle	LT 4	X	X								
	Ford L-9000 Tri Axle	LT 5	X	X								
Well Transportation	Mack DM 688S Tri Axle	Well -01	X	X	X							
	Mack RD 690S Quad Axle	Well-03	X	X	X							
	Mack RD 690S Quad Axle	Well-04	X	X	X							
	Mack RD 690S Quad Axle	Well-06	X	X	X							
	Mack RD 690S Quad Axle	Well-11	X	X	X							
	Mack RD 690S Quad Axle	Well-10	X	X	X							
	Mack DM 688S Tri Axle	Well-08	X	X	X							
Richie Rich Trucks	Mack 690 Quad Axle	RR-11	X	X								
	Mack 690S Tri Axle	RR-22	X	X								
	Mack 690S Tri Axle	RR-33	X	X								
Ball Trucking	Ford L-9000 Tri Axle	Ball 1	X	X								
	Ford L-9000 Tri Axle	Ball 2	X	X								
TNT Trucking	Mack 688LS Quad Axle	TNT 1	X	X								

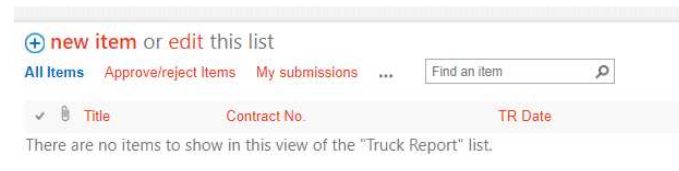
- The contractor shall provide a trucking plan when DBE participation is used (committed or not)
- Includes list of trucking firms to be used on the project with truck numbers, truck type, and material they will be hauling.
- This should be requested at the preconstruction conference and updated by the contractor as needed throughout the life of project.
- RE staff should verify that subs are DBE when the trucking plan is provided
- Prime Contractors trucks do not count toward DBE participation

# Monitoring Hauling During Construction

Training04 · Training04



- RE field staff should complete a truck report each day the DBE hauler is working.
- This report is in Sharepoint under Reports, Truck Report
- Summarizes the hauling for the day
- Once you click on the Truck Report link, your inspector can create a new report using new item



Items on this list require content approval. Your submission will not appear in public views until approved by someone with proper rights. More information on content approval

Title	<input type="text" value="Report"/>
Contract No.	<input type="text" value="Training04"/>
TIP No.	<input type="text" value=""/>
WBS #	<input type="text" value=""/>
TR Date	<input type="text" value="9/19/2023"/>
Prime Contractor	<input type="text" value=""/>
Prime Dual Axle	<input type="text" value=""/>
Prime Tri Axle	<input type="text" value=""/>
Prime Quad	<input type="text" value=""/>
Prime Other	<input type="text" value=""/>
Prime Remarks	<input type="text" value=""/>
DBE Subcontractor	<input type="text" value=""/>
DBE Sub Dual Axle	<input type="text" value=""/>
DBE Sub Tri Axle	<input type="text" value=""/>
DBE Sub Quad	<input type="text" value=""/>
DBE Sub Other	<input type="text" value=""/>
DBE Sub Remarks	<input type="text" value=""/>
Non DBE Subcontractor	<input type="text" value=""/>
Non DBE Sub Dual Axle	<input type="text" value=""/>
Non DBE Sub Tri Axle	<input type="text" value=""/>
Non DBE Sub Quad	<input type="text" value=""/>
Non DBE Other	<input type="text" value=""/>
Non DBE Sub Remarks	<input type="text" value=""/>
Total Dual Axle	<input type="text" value=""/>
Total Tri Axle	<input type="text" value=""/>
Total Quad	<input type="text" value=""/>
Total Other	<input type="text" value=""/>

- Title – Defaults to Report, Inspector can rename if they would like
- Contract No – Contract number is automatically pulled into report
- TIP# - choose TIP. If contract contains more than one, you will have choice
- WBS # - can enter WBS if needed. This is not required if you are working on multiple WBS
- TR Date – Date of the Report. Defaults to current day
- Prime Contractor – Prime Contractor working on the project
- Prime Dual Axle, Tri Axle, Prime Quad, Prime Other – list truck numbers prime contractor is providing. **THIS CAN NOT COUNT TOWARDS DBE CREDIT**
- Prime Remarks – Any comments regarding the primes hauling for that day



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DBE Sub Remarks	<input type="text" value=""/>
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Non DBE Sub Dual Axle	<input type="text" value=""/>
Non DBE Sub Tri Axle	<input type="text" value=""/>
Non DBE Sub Quad	<input type="text" value=""/>
Non DBE Other	<input type="text" value=""/>
Non DBE Sub Remarks	<input type="text" value=""/>
Total Dual Axle	<input type="text" value=""/>
Total Tri Axle	<input type="text" value=""/>
Total Quad	<input type="text" value=""/>
Total Other	<input type="text" value=""/>

- DBE Subcontractor – List the name of any DBE subcontractor or brokered DBE truck company. This would include the name of any DBE firm that is enlisted to assist with hauling that day. Example: Haulers R Us, Trucking the World
- DBE Sub Dual Axle, DBE Tri Axle, DBE Sub Quad, DBE Sub Other – list truck numbers of any DBE firm that is assisting with hauling for the day. Use the trucking plan to determine which firms are DBEs, HU1, TW23
- DBE Sub Remarks – provide any comments relative to the days trucking. Also good place to note if a firm is committed

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Non DBE Subcontractor	<input type="text" value=""/>
Non DBE Sub Dual Axle	<input type="text" value=""/>
Non DBE Sub Tri Axle	<input type="text" value=""/>
Non DBE Sub Quad	<input type="text" value=""/>
Non DBE Other	<input type="text" value=""/>
Non DBE Sub Remarks	<input type="text" value=""/>
Total Dual Axle	<input type="text" value=""/>
Total Tri Axle	<input type="text" value=""/>
Total Quad	<input type="text" value=""/>
Total Other	<input type="text" value=""/>

- Non DBE Subcontractor – List the name of any non DBE subcontractor or brokered non DBE truck company. This would include the name of any non DBE firm that is enlisted to assist with hauling that day. Example Fire House Trucking, My Trucking Company
- Non DBE Sub Dual Axle, Non DBE Tri Axle, Non DBE Sub Quad, Non DBE Sub Other – list truck numbers of any DBE firm that is assisting with hauling for the day. Use the trucking plan to determine which firms are DBEs. Example FH1, MT43
- Non DBE Sub Remarks – provide any comments relative to the days trucking. Also good place to note if a firm is committed
- Total Trucks – Add up each category for number of Dual Axle, Tri Axle Quad, and Other trucks hauling for the day

**Thank you!**

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